Clinical Presentation of Ocular Surface Squamous Neoplasia in Kenya

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**IMPORTANCE** There is a trend toward treating conjunctival lesions suspected to be ocular surface squamous neoplasia (OSSN) based on the clinical impression.

**OBJECTIVE** To describe the presentation of OSSN and identify clinical features that distinguish it from benign lesions and subsequently evaluate their recognizability.

**DESIGN, SETTING, AND PARTICIPANTS** Prospective multicenter study in Kenya from July 2012 through July 2014 of 496 adults presenting with conjunctival lesions. One histopathologist examined all specimens. Six additional masked ophthalmologists independently examined photographs from 100 participants and assessed clinical features.

**EXPOSURES** Comprehensive history, slitlamp examination, and photography before excision biopsy.

**MAIN OUTCOMES AND MEASURES** Frequency of clinical features in OSSN and benign lesions were recorded. Proportions and means were compared using χ², Fisher exact test, or t test as appropriate. Interobserver agreement was estimated using the κ statistic. Examiners’ assessments were compared with a reference.

**RESULTS** Among 496 participants, OSSN was the most common (38%) histological diagnosis, followed by pterygium (36%) and actinic keratosis (19%). Patients with OSSN were slightly older (mean [SD] age, 41 [11.6] vs 38 [10.9] years; P = .002) and tended to have lower levels of education than patients with benign lesions (P = .001). Females predominated (67% of OSSN vs 64% of benign lesions; P = .65). Human immunodeficiency virus infection was common among patients with OSSN (74%). The most common location was the nasal limbus (61% OSSN vs 78% benign lesions; P < .001). Signs more frequent in OSSN included feeder vessels (odds ratio [OR], 5.8 [95% CI, 3.2-10.5]), moderate inflammation (OR, 3.5 [95% CI, 1.8-6.8]), corneal involvement (OR, 2.7 [95% CI, 1.8-4.0]), leukoplakia (OR, 2.6 [95% CI, 1.7-3.9]), papilliform surface (OR, 2.1 [95% CI, 1.3-3.5]), pigmentation (OR, 1.5 [95% CI, 1.0-2.2]), temporal location (OR, 2.0 [95% CI, 1.2-3.2]), circumlimbal location (6.7% vs 0.3%; P < .001), severe inflammation (6.7% vs 0.3%; P < .001), and larger mean (SD) diameter (6.8 [3.2] vs 4.8 [2.8] mm; P < .001). All OSSN signs were also observed in benign lesions. There was slight to fair interobserver agreement in assessment of most signs and diagnosis (κ, 0.1-0.4). The positive predictive value of clinical appearance in identifying OSSN was 54% (interquartile range, 51%-56%) from photographs in which prevalence was 32%.

**CONCLUSIONS AND RELEVANCE** With overlapping phenotypes and modest interobserver agreement, OSSN and benign conjunctival lesions are not reliably distinguished clinically. Point-of-care diagnostic tools may help.
Ocular surface squamous neoplasia (OSSN) is a spectrum of disease ranging from noninvasive intraepithelial dysplasia of the conjunctiva and cornea to invasive squamous cell carcinoma. Worldwide, the incidence of OSSN is highest in the southern hemisphere (16°S), with the peak occurring in Africa.

The benchmark for diagnosis of OSSN is histopathologic analysis; however, the availability of this service is limited in Africa. The decision to excise conjunctival lesions usually depends on the clinical impression. Most lesions are excised without subsequent histopathological confirmation of the diagnosis or information on tumor involvement of the excision margins. Even in countries with good access to pathology services, many lesions suspected of being OSSN are treated without histological confirmation of the diagnosis. In 2003, a standard-of-care survey in the United States showed that 51% of respondents always perform biopsies before instituting therapy for suspected OSSN lesions. This proportion was unchanged when the same survey was repeated in 2012. There are several reports from other regions where primary treatment for suspected OSSN tumors is provided using topical agents (mitomycin, fluorouracil, and interferon alfa-2b) without excision for histopathological diagnosis. The rationale for this practice is to reduce the complications of excision such as limbal stem cell deficiency with large lesions or symblepharon. Population surveys to determine the prevalence of pinguecula or pterygium also rely on a clinical diagnosis to distinguish them from OSSN and other benign lesions.

Several studies have tried to identify clinical features that may distinguish OSSN. A study in Tanzania found that OSSN lesions had a shorter mean duration than benign lesions (3.7 vs 8.8 months; \( P = .03 \)) whereas feeder vessels were more frequently associated with OSSN than benign lesions (\( P = .03 \)). Male sex, temporal and superior locations, lack of corneal involvement, and papillomatous and nodular appearance were associated with higher-grade OSSN lesions in a US study. The OSSN lesions in human immunodeficiency virus (HIV)-infected individuals may be more likely to be of a higher grade of malignancy than those in HIV-negative patients.

The aim of this study was to describe the clinical presentation of OSSN in Kenya and determine what clinical features might help to distinguish it from benign lesions. The main focus was on the frequency of clinical features in OSSN that could help to differentiate OSSN from other benign conjunctival lesions in this setting and the interobserver variability in the recognition of these features.

Methods

Ethical Approval
This study was part of an integrated set of investigations into OSSN in Kenya. It was formally reviewed and approved by the Kenyatta National Hospital–University of Nairobi Ethics and Research Committee and the London School of Hygiene and Tropical Medicine Ethics Committee. This study adhered to the tenets of the Declaration of Helsinki. All participants gave informed written consent to take part in the study before enrollment and did not receive a stipend to participate.

Participants
Recruitment was between July 2012 and July 2014 in 4 eye care centers: Kenyatta National Hospital in Nairobi; PCEA Kikuyu Eye Unit, approximately 25 km from Nairobi in central Kenya; Kitale district hospital, in the north Rift Valley 490 km from Nairobi; and Sabatia Eye Hospital, 300 km from Nairobi in the western highlands bordering Lake Victoria. We prospectively recruited all consenting, consecutive self-presenting adult patients (at least 18 years of age) with any conjunctival lesion (first presentation or a recurrence) suspected to be OSSN scheduled for surgery. Pregnant women and breastfeeding mothers were excluded.

Clinical Assessment
A comprehensive history was taken using a structured questionnaire, and the eyes were examined with a slit lamp. The widest diameter of the lesion was measured using the slit-lamp beam and scale. A pair of photographs of each lesion was taken, 1 in primary gaze and the other with the lesion in the center of the field. We used a Nikon D90 digital camera with Micro Nikkor 105-mm F2.8 AF-S zoom lens and the R1 close-up speedlight. All photographs were taken at 1:1 magnification ratio.

Surgery and Histopathologic Analysis
All lesions were excised under local anesthetic using an operating microscope with a 3-mm clear margin. Cryotherapy was not applied because the participants were further invited to enroll in a treatment trial postoperatively. Specimens were placed directly into buffered formalin and subsequently examined at the histopathology laboratory at the MP Shah Hospital, Nairobi. One pathologist examined all the histology slides. Participants with mild, moderate, or severe conjunctival intraepithelial neoplasia (CIN 1, 2, or 3, respectively), together with any who had carcinoma in situ or invasive squamous cell carcinoma, were classified as having OSSN. A 3-grade system was used to classify carcinomas histologically as either well, moderately, or poorly differentiated in accordance with the American Joint Committee on Cancer. Benign lesions included pterygium, actinic keratosis, papillomas, pyogenic...
granulomas, nevi, and rhinosporidiosis. The diagnosis of actinic keratosis was based on the presence of elastic stromal degeneration, acanthosis, hyperkeratosis, and parakeratosis in the presence of normal cellular polarity. By the accepted criteria for dysplasia, such lesions were classified as CIN only if there was loss of polarity.

Patients with OSSN were invited to enroll in a case-control study that involved testing for HIV and CD4 count. Testing for HIV was initially performed using Vironostika antigen/antibody kit then later changed to rapid tests using Alere Determine HIV-1/2 Ag/Ab and Trinity Unigold. CD4 count was tested using FACSCount (Becton Dickinson). Those with benign lesions were not tested. Voluntary testing and counseling were offered at the health facility.

Interobserver Study
To determine the interobserver variability in the assessment of the clinical features, 6 final-year ophthalmology residents in the University of Nairobi Department of Ophthalmology at Kenyatta National Hospital independently assessed photographs from the last 100 consecutive participants enrolled into the study from 1 center. They were masked to the diagnosis. Images were projected onto a screen. The clinical case mix was the same in this sample of patients compared with the whole data set that included patients from all 4 study centers. Cases with features that may suggest malignancy, such as very large tumors filling the orbit, were excluded from this assessment. The graders were asked to determine whether each feature was either present, absent, or difficult to determine.

Statistical Analysis
Data were managed in an Access database (Microsoft), cleaned, and transferred into STATA, version 12.1 (StataCorp), for analysis. In this analysis, we compared the clinical features of OSSN and benign lesions. Large orbital tumors and non-OSSN malignant neoplasms were excluded. Categorical variables were compared using the Pearson $\chi^2$ test, odds ratios (ORs), or Fisher exact test where appropriate. Logistic regression analysis was used to obtain adjusted ORs. To determine whether continuous variables were normally distributed, we generated quantile-quantile plots and compared the variances in both groups using the standard deviation as a measure of spread. Where the deviations differed the $t$ test was conducted with unequal variances.

The interobserver agreement between graders was compared using the $k$ statistic without weighting and graded using the Landis and Koch method as poor, slight, fair, moderate, substantial, or almost perfect.\textsuperscript{19} To calculate an average value, the $k$ statistics for each grader were transformed to $z$ scores using the Fisher $z$ transformation, averaged, and then back-transformed to $k$.

Results
Five hundred thirty-seven participants with conjunctival lesions were enrolled. Histology reports were available for 496 participants. Eighteen tissue specimens were autolyzed on arrival at the pathology laboratory, perhaps from poorly reconstituted formalin (one was a batch of 16 from 1 center), and 22 were presumed lost in transit. Seven (1.4%) were large orbital tumors. A total of 488 participants were therefore included in the analysis of clinical features.

Histopathological Diagnosis
Ocular surface squamous neoplasia was the most common type of ocular surface lesion (38%) (eTable 1 in the Supplement). This was followed by pterygium (36%) and actinic keratosis (19%), which were the most common benign lesions. All stages of OSSN were seen, with the most frequent being moderately differentiated squamous cell carcinoma. There was 1 case of sarcomatoid spindle cell carcinoma and a wide range of benign lesions.

Demographic Characteristics
The demographic characteristics of participants, subdivided by the pathologic type, are presented in Table 1. Approximately two-thirds were female (65%), with no sex difference between patients with OSSN and benign lesions. Most individuals presenting with conjunctival lesions were young to middle-aged adults (mean [SD] age, 39 [11.3] years). Participants with OSSN were slightly older than those with benign lesions ($P = .002$) and more likely to be widowed and to have a lower level of education. Those who did not have any formal education had the highest risk of OSSN after adjustment for age and marital status.

Clinical History
The primary symptoms at presentation are given in eTable 2 in the Supplement. Overall, the presenting symptoms were similar by disease group ($P = .14$). The most frequent presenting complaint was a lump or swelling (67%); less frequent were pain (12%), redness (6%), and itchiness (5%).

Additional information on the clinical history is presented in eTable 3 in the Supplement. Median (interquartile range [IQR]) duration from onset of symptoms to presentation was longer for OSSN than benign tumors (8 [4-21] vs 5 [2-17] months; $P = .03$), and a history of prior conjunctival excision was more frequent in patients with OSSN than those with benign lesions (18% vs 6%; $P < .001$). The mean [SD] number of prior excision procedures in patients who had undergone them was, however, similar in both groups (1.4 [0.8] vs 1.3 [0.7]; $P = .66$). There was no evidence of a difference between OSSN and benign lesions in terms of a family history of eye cancer or cancer at another site.

There was significant evidence that participants with OSSN had longer sun exposure in their current ($P = .02$) and previous ($P = .003$) occupation but little evidence that they had a current predominantly outdoor occupation (64% vs 57%; $P = .14$) or worked outdoors in previous employment (57% vs 48%; $P = .22$). There was no significant difference in the proportion who wore hats or sunglasses or who smoked cigarettes (eTable 3 in the Supplement). However, among smokers, the mean (SD) number of cigarettes smoked daily was higher among patients with OSSN (12 [11] vs 7 [6]; $P = .03$).
null
Discussion

There appears to be a tendency to treat patients presumed to have OSSN without a tissue diagnosis. However, we found a high degree of overlap in the clinical features of OSSN and benign lesions. Although some features were more frequent in OSSN than in the benign group, they still occurred at a fairly high frequency in the benign group. In our view, the differences are insufficient to depend on clinical features as an indicator of the underlying diagnosis. Moreover, there was only modest ($\kappa = 0.4$) interobserver agreement in the assessment of the diagnosis and a positive predictive value (54%) no better than chance when clinical features were used to make the diagnosis. The difficulty observed in determining surface appearance may be partly attributed to the lack of a stereoscopic view from photographs. The agreement in determining the presence of most clinical features was better than that for overall diagnostic classification as OSSN or benign.

The age and sex distribution of patients with OSSN was consistent with prior series from Africa, where young adults and especially women predominate.2,20 In temperate regions, it is predominantly a disease of older men.21,22 There was no difference in the sex distribution of OSSN and benign lesions. Higher education may increase awareness and earlier health care-seeking behavior. Median duration before presentation did not, however, conform to this trend, and showed the opposite of what has been previously reported.

The medical history of patients with OSSN and benign lesions is similar. The difference in occupational history with a longer exposure to solar UV radiation in those with OSSN than benign lesions is consistent with UV radiation being a major risk factor for OSSN.2 There was also increased exposure to cigarette smoking with OSSN lesions, which has so far not been clearly described as a risk factor for OSSN.

Although some clinical features showed differences between OSSN and benign lesions, it may be difficult to tell the two apart. For instance, OSSN lesions were larger than benign lesions, but a 2.0-mm difference between 6.8 and 4.8 mm is relatively small. A circumlimbal pattern was more frequent in OSSN; however, it only occurred in 3% of the conjunctival lesions. Whereas OSSN was twice as likely to be temporal, 16% of benign lesions were located temporally, compared with 28% of OSSN lesions. Such a difference in proportion is difficult to rely on in the clinical setting.

The preponderance of nasal conjunctival lesions is consistent with earlier reports and may be due to the previously

Table 2. Comparison of the Clinical Features of Ocular Surface Squamous Neoplasia (OSSN) With Benign Conjunctival Lesions on Slitlamp Examination

<table>
<thead>
<tr>
<th>Clinical Feature</th>
<th>No. (%)</th>
<th>No. (%)</th>
<th>OR (95% CI)</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lesion location</td>
<td>OSSN (n = 180)</td>
<td>Benign Lesions (n = 308)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nasal limbus</td>
<td>110 (61.1)</td>
<td>241 (78.3)</td>
<td>0.4 (0.3-0.7)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Temporal limbus</td>
<td>50 (27.8)</td>
<td>50 (16.2)</td>
<td>2.0 (1.2-3.2)</td>
<td>.002</td>
</tr>
<tr>
<td>Superior limbus</td>
<td>2 (1.1)</td>
<td>2 (0.7)</td>
<td>1.7 (0.1-23.9)</td>
<td>.59</td>
</tr>
<tr>
<td>Inferior limbus</td>
<td>1 (0.6)</td>
<td>4 (1.3)</td>
<td>0.4 (0.0-4.3)</td>
<td>.43</td>
</tr>
<tr>
<td>Circumlimbal</td>
<td>12 (6.7)</td>
<td>1 (0.3)</td>
<td>21.9 (3.2-940.2)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Mostly corneal</td>
<td>1 (0.6)</td>
<td>0 (0.0)</td>
<td>$\infty$</td>
<td>.19</td>
</tr>
<tr>
<td>Both nasal and temporal limbus</td>
<td>3 (1.7)</td>
<td>1 (0.3)</td>
<td>5.2 (0.4-274.0)</td>
<td>.11</td>
</tr>
<tr>
<td>Caruncle</td>
<td>0 (0.0)</td>
<td>3 (1.0)</td>
<td>0 (0.0-2.2)</td>
<td>.18</td>
</tr>
<tr>
<td>Eyelid</td>
<td>1 (0.6)</td>
<td>6 (2.0)</td>
<td>0.3 (0.0-2.4)</td>
<td>.21</td>
</tr>
<tr>
<td>Inflammation at the lesion site</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>None</td>
<td>21 (11.7)</td>
<td>74 (24.0)</td>
<td>1 [Reference]</td>
<td></td>
</tr>
<tr>
<td>Minimal</td>
<td>50 (27.8)</td>
<td>111 (36.0)</td>
<td>1.6 (0.9-2.9)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Mild</td>
<td>46 (25.6)</td>
<td>71 (23.1)</td>
<td>2.3 (1.2-4.3)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Moderate</td>
<td>51 (28.3)</td>
<td>51 (16.6)</td>
<td>3.5 (1.8-6.8)</td>
<td></td>
</tr>
<tr>
<td>Severe</td>
<td>12 (6.7)</td>
<td>1 (0.3)</td>
<td>42.3 (3.7-478.3)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Leukoplakia</td>
<td>129 (72.1)</td>
<td>152 (49.7)</td>
<td>2.6 (1.7-3.9)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Erythroplakia</td>
<td>30 (16.7)</td>
<td>53 (17.2)</td>
<td>1.0 (0.6-1.6)</td>
<td>.88</td>
</tr>
<tr>
<td>Gelatinous appearance</td>
<td>121 (67.2)</td>
<td>188 (61.0)</td>
<td>1.3 (0.9-2.0)</td>
<td>.19</td>
</tr>
<tr>
<td>Fibrovascular appearance</td>
<td>18 (10.0)</td>
<td>81 (26.3)</td>
<td>0.3 (0.2-0.6)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Papilliform appearance</td>
<td>41 (22.8)</td>
<td>38 (12.0)</td>
<td>2.1 (1.3-3.5)</td>
<td>.003</td>
</tr>
<tr>
<td>Brown lesion pigmentation</td>
<td>96 (53.3)</td>
<td>133 (43.2)</td>
<td>1.5 (1.0-2.2)</td>
<td>.04</td>
</tr>
<tr>
<td>Lesion feeder vessels</td>
<td>163 (90.6)</td>
<td>195 (63.3)</td>
<td>5.8 (3.2-10.5)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Corneal involvement</td>
<td>118 (64.5)</td>
<td>120 (39.5)</td>
<td>2.7 (1.8-4.0)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Lesion diameter, mean (SD), mm</td>
<td>6.8 (3.2)</td>
<td>4.8 (2.8)</td>
<td>NA</td>
<td>&lt;.001</td>
</tr>
</tbody>
</table>

Abbreviations: NA, not applicable; OR, odds ratio; OSSN, ocular surface squamous neoplasia.

* The numbers assessed may vary in different cells if the item assessed did not apply to all participants. Data are number (percentage) unless otherwise specified.

t Test.
Five grades of inflammation associated with ocular surface squamous neoplasia are shown in A through E. Various clinical features seen in moderately differentiated squamous cell carcinoma are shown from F through O. F through K show different tumor surface appearances, and various growth patterns are seen in L through O.

F, Leukoplakia—note patches of keratosis visible as white adherent plaques. Feeder vessels (distinctly dilated blood vessels larger than the rest of the conjunctival vessels) are also shown (arrowheads). G, Erythroplakia—note red subconjunctival popular hemorrhage-like appearance. J, Papilliform appearance—note large feeder vessels (arrowheads).
described observation that incident temporal sunlight is focused nasally with a 20-fold magnification in intensity. Pterygia and actinic keratosis are considered premalignant and have some similarities with OSSN in their pathophysiologic characteristics, including association with solar UV radiation, p53 gene mutation, and human papillomavirus infection. Being on the same causal pathway may also explain the overlap of clinical features. Furthermore, we would also expect benign changes to occur before malignant ones. This may explain why patients with OSSN were older.
than those with benign disease, most of whom had pterygia or actinic keratosis. Differences between OSSN and benign lesions in the proportions of moderate and severe inflammation (P < .001) may not in isolation be easily applied in the clinical setting. Ocular surface squamous neoplasia was more likely to show leukoplakia than benign lesions; however, 50% of benign lesions also had it. This situation is also seen with other features such as the lesion surface appearance, pigmentation, feeder vessels, and corneal involvement in Table 2 and Figure 2. This study has a number of limitations. The 6 examiners in the interobserver component did not have access to the patient’s full history, which may help to inform the clinical diagnosis, nor did they assess the lesions at the slit lamp because this would have been logistically impossible. Second, this was a hospital-based study, which may introduce selection bias in the types of patients seen. However, the objective of the study was to compare patients with OSSN and benign lesions presenting to clinicians in a health care facility setting, so this potential bias would not affect comparability of the 2 types of disease. Finally, distinguishing pterygia and OSSN by histopathologic characteristics is sometimes difficult. Studies in Australia and the United States found histopathological features of OSSN in 9.8% and 1.7%, respectively, of lesions previously classified as pterygia.28,29

Conclusions
The clinical features of OSSN and benign conjunctival lesions overlap. Both disease groups have common pathophysiological mechanisms, and this may explain their overlapping clinical appearance. Although individual features are identified by different examiners with reasonable consistency, they do not reliably distinguish the 2 disease groups. Examination of photographs alone cannot replace clinical examination and biopsy, indicating that teleophthalmologic approaches for the diagnosis of OSSN require more study. Therefore, in the African context where the range of risk factors is perhaps wider and the clinical behavior of the disease more aggressive compared with temperate regions, we conclude that biopsy should be performed before treatment. The occurrence of malignant changes described in pterygia and other benign lesions fur ther underscores the need for histopathologic analysis.

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